

13049 -U.S. PTO



011504

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ATTORNEYS AT LAW

January 15, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Customer No.: 27614

File: 94992-00001  
Inventor(s): Carl Nelson and Kirk Jordan  
Title: SYSTEM AND METHOD FOR PROVIDING CUSTOMIZED, EFFECTIVE,  
RISK BASED ETHICS AND COMPLIANCE TRAINING AND INFORMATION  
USING A NETWORK  
Assignee: Integrity Interactive

Express Mail Label No.: EV 301746843 US

Sir:

Enclosed herewith please find the following documents in the above-identified application for Letters Patent of the United States:

1 Pages of Abstract  
40 Pages of Specification  
69 Number of Claims  
6 Sheets of Drawings  
1 Declaration and Power of Attorney (unexecuted)  
1 Return-addressed Postcard  
1 Express Mail Certification (Stapled to this Letter)  
  
X Applicant claims small entity status

Basic Fee \$ 385.00

Additional Fees:

Total number of claims (including multiple dependent claims) 69  
Total number of claims in excess of 20, times \$9.00 49 \$ 441.00  
Number of independent claims 2  
Number of independent claims minus 3, times \$43.00 \$ 0.00  
Fee for multiple dependent claims (\$145.00) \$ 0.00

**TOTAL FILING FEES: \$ 826.00**

Please charge Deposit Account No. 501402 in the amount of \$ 826.00 to cover the fees associated with this filing. The Commissioner is also authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 501402. Duplicate copies of this letter are enclosed for such purposes.

Respectfully submitted,

McCARTER & ENGLISH

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Reg. No. 34,938

31355 U.S. PTO  
10/757953



011504

94992-00001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of  
CARL NELSON, ET AL.

Serial No.: TO BE ASSIGNED

Filed: FILED HEREWITH

For: SYSTEM AND METHOD FOR PROVIDING  
CUSTOMIZED, EFFECTIVE RISK BASED  
ETHICS AND COMPLIANCE TRAINING AND  
INFORMATION USING A NETWORK

X

**Mail Stop Patent Application**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

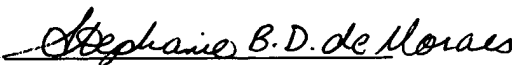
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

Sir:

"Express Mail" Mailing Label Number: EV 301746843 US

Date of Deposit: January 15, 2003

I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

  
Stephanie B.D. de Moraes